

COMPLIANCE UNIVERSITY

AGENDA Sponsored By:



TUESDAY, JULY 16

8:30 AM – 9:00 AM

REGISTRATION

- **Room:** Foyer
- **Sponsored By:**

LoanPaymentPro
Getting. Lenders. Paid.

8:30 AM – 9:00 AM

BREAKFAST

- **Room:** Foyer
- **Sponsored By:**



9:00 AM – 9:15 AM

OPENING REMARKS

- **Room:** Civiletti
- **Speaker:** Andrew Duke – Chief Executive Officer – Online Lenders Alliance

9:15 AM – 10:15 AM BREAKOUT SESSIONS (THREE CONCURRENT)

• HOW IS AI/ML CHANGING COMPLIANCE?

- **Room:** Adirondack
- **Description:** Artificial intelligence and machine learning have been instrumental in bringing automation and improving data collection, analysis, and reporting to the fintech sector. But what steps are you taking to monitor your AI/ML processes for compliance with all applicable standards? This expert panel will discuss the biggest challenges in the use of AI/ML and share best practices to ensure compliance with relevant regulations and laws.
- **Speakers:**
 - James G. Gatto – Partner – Sheppard Mullin
 - Kelly F. Truesdale – Associate – Mayer Brown

- **BRINGING COMPLIANCE INTO PRODUCT DEVELOPMENT**

- **Room:** Civiletti
- **Description:** When a new product is in development, it's tempting to place compliance on the back burner due to a lack of resources. But ignoring compliance in the early stages of development can end up costing much more in terms of reputational damage and fines. Hear about regulations and laws that fintech companies need to consider during product development and learn how to adapt your compliance program to account for new products and services.
- **Speakers:**
 - *Justin B. Hosie – Partner – Hudson Cook, LLP*
 - *Paula M. Vigo Marqués – Associate – Blank Rome LLP*

- **THE LATEST ON LITIGATION**

- **Room:** Sierra Nevada
- **Description:** Join our discussion on major developments and trends in litigation affecting consumer finance. Expert panelists will discuss significant court rulings, sharing their viewpoints on what they mean for the consumer finance industry.
- **Speakers:**
 - *Christa L. Bieker – Partner – Mayer Brown*
 - *Andrew J. Narod – Partner – Bradley Arant Boult Cummings LLP*

10:15 AM – 10:30 AM

COFFEE BREAK

- **Room:** Foyer
- **Sponsored By:**



10:30 AM – 11:30 AM

BREAKOUT SESSIONS (THREE CONCURRENT)

- **MAINTAINING DATA SECURITY IN AN EVER-CHANGING WORLD**

- **Room:** Adirondack
- **Description:** Data security is a major challenge for any organization, but for fintech companies that maintain extremely sensitive data, it can be the difference between success and failure. Customer information is sometimes stored in several places throughout a company's digital infrastructure, making the protection of that data a major challenge. This panel of experts will discuss recent developments in applicable law and regulations outlining strategies to ensure you can stay on top of the ever-evolving data privacy landscape.
- **Speakers:**
 - *Alexander R. Cox – Associate – Locke Lord LLP*
 - *Kim Phan – Partner – Troutman Pepper Hamilton Sanders LLP*

- **COMPLIANCE PROGRAMS ARE EVOLVING – ARE YOU?**

- **Room:** Civiletti
- **Description:** The regulatory landscape for compliance continues to expand and grow more complex. Are you up to speed with the latest rules and mandates? Learn about the key elements of an effective risk management program, including managing existing risk, proper compliance processes, and training that ensures your company keeps pace with change.
- **Speakers:**
 - *Christopher K. Friedman – Partner – Husch Blackwell LLP*
 - *Jonathan L. Pompan – Partner – Venable LLP*

- **DEBT COLLECTIONS AND THE FD CPA – WHAT YOU NEED TO KNOW**

- **Room:** Sierra Nevada
- **Description:** Learn about the basic legal tenets of debt collection, how the Fair Debt Collection Practices Act (FD CPA) affects your ability to collect debts and how recent litigation developments at the federal and state levels may impact you.
- **Speakers:**
 - Leah C. Dempsey – Shareholder – Brownstein Hyatt Farber Schreck, LLP
 - Chuck Dodge – Partner – Hudson Cook, LLP

11:30 AM – 12:30 PM

GENERAL SESSION: WAIT, WAIT, DON'T SUE ME! NOT NPR'S NEWS QUIZ...BUT MORE FUN AND REWARDING!

- **Room:** Civiletti
- **Speakers:**
 - Jason Romrell – General Counsel & Director of Government Relations – LeadsMarket.com LLC
 - Donald Ung – Vice President of Compliance – LeadsMarket.com LLC

12:30 PM – 1:30 PM

LUNCH

- **Room:** Foyer
- **Sponsored By:**

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1:30 PM – 2:30 PM

BREAKOUT SESSIONS (THREE CONCURRENT)

- **NON-COMPETES AND OTHER CHANGES IN EMPLOYMENT POLICY**

- **Room:** Adirondack
- **Description:** Worried about the legal landscape in employment law? This session will explore the FTC's new rule on non-compete agreements and how other trends in employment law may affect you.
- **Speakers:**
 - Deborah P. Kelly – Partner – Manatt, Phelps & Phillips, LLP
 - J. William Manuel – Partner – Bradley Arant Boult Cummings LLP

- **MANAGING COMPLIANCE AS A BANK THIRD-PARTY VENDOR**

- **Room:** Civiletti
- **Description:** Bank/third-party relationships are changing the fabric of the financial sector, offering both challenges and opportunities to financial institutions and service providers of all kinds. Learn how to stay on top of the most recent guidance from regulators and how it impacts both banks and service providers.
- **Speakers:**
 - Max Bonici – Counsel – Venable LLP
 - Mehul N. Madia – Special Counsel – Sheppard Mullin

- **WHAT YOU NEED TO KNOW ABOUT THE FCC'S NEW ONE-TO-ONE CONSENT RULE**

- **Room:** Sierra Nevada
- **Description:** The FCC's new consent rule will require businesses to obtain a consumer's prior express written consent for calls and texts made with an ATDS to a "single seller at a time." This session will examine this rule and changes to the Do Not Call provisions that explicitly apply to text messages. Learn how these rules will impact your business and the steps your company will need to take to comply.
- **Speakers:**
 - Jared Conaway – General Counsel – Trend Capital Holdings, Inc.
 - Michael A. Munoz – Associate – Venable LLP
 - Michele Shuster – Managing Partner – Mac Murray & Shuster LLP

2:30 PM – 3:00 PM

COFFEE BREAK

- **Room:** Foyer
- **Sponsored By:**

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3:00 PM – 3:30 PM

GENERAL SESSION: FUTURE OF THE CFPB AND HOW THE RECENT SUPREME COURT RULING IMPACTS THE REGULATORY AND COMPLIANCE LANDSCAPE

- **Room:** Civiletti
- **Speakers:**
 - *Mike G. Silver – Partner – Husch Blackwell LLP*
 - *Mehul N. Madia – Special Counsel – Sheppard Mullin*

3:30 PM – 4:00 PM

COFFEE BREAK

- **Room:** Foyer
- **Sponsored By:**



4:00 PM – 5:00 PM

BREAKOUT SESSIONS (THREE CONCURRENT)

• **RISK MANAGEMENT THROUGH ANALYTICS AND THIRD-PARTY OVERSIGHT**

- **Room:** Adirondack
- **Description:** Effective oversight and management of third-party service providers is critical to maintaining a compliant business. The session will explore common blind spots in vendor management and oversight strategies and offer proven best practices for managing your risk.
- **Speakers:**
 - *A.J. S. Dhaliwal – Partner – Sheppard Mullin*
 - *Shelby Lomax – Associate – Husch Blackwell LLP*

• **ARE YOUR AML, ID VERIFICATION, AND KYC PROCEDURES UP TO DATE?**

- **Room:** Civiletti
- **Description:** The growing volume of transactions and the increase in complex fraud schemes make it imperative that fintech companies adopt anti-fraud measures while providing a streamlined user experience. A panel of seasoned professionals will describe applicable standards and how to maintain a strong compliance program while offering the speed and ease customers expect.
- **Speakers:**
 - *Matthew Bisanz – Partner – Mayer Brown*
 - *Carlin A. McCrory – Partner – Troutman Pepper Hamilton Sanders LLP*

• **OVERCOMING COMPLIANCE CHALLENGES WITH THE TCPA**

- **Room:** Sierra Nevada
- **Description:** Hear from leading industry experts at this facilitated discussion about how the industry is approaching compliance challenges related to the Telephone Consumer Protection Act (TCPA).
- **Speakers:**
 - *Scott J. Helfand – Partner – Husch Blackwell LLP*
 - *John W. McGuinness – Partner – Manatt, Phelps & Phillips, LLP*

5:00 PM – 6:00 PM

RECEPTION

- **Room:** Rooftop
- **Hosted By:**

VENABLE LLP

WEDNESDAY, JULY 17

8:30 AM – 9:00 AM

BREAKFAST

- **Room:** Foyer
- **Sponsored By:**


INTEGRISHIELD™

9:00 AM – 10:00 AM

BREAKOUT SESSIONS (THREE CONCURRENT)

• **STAYING COMPLIANT WITH TSR**

- **Room:** Civiletti
- **Description:** This session will unlock the latest issues with the Telemarketing Sales Rule (TSR) which requires telemarketers to make specific disclosures of material information, prohibits misrepresentations, sets limits on the times telemarketers may call consumers, and more. Panelists will address prohibited activities, safe harbors, penalties for noncompliance, and recent enforcement actions.
- **Speakers:**
 - *Jacob L. Huston – Partner – Husch Blackwell LLP*
 - *Paul H. Luehr – Partner – Manatt, Phelps & Phillips, LLP*

• **WHAT IS NON-RULEMAKING GUIDANCE AND HOW DOES IT IMPACT COMPLIANCE?**

- **Room:** Adirondack
- **Description:** A growing trend among federal agencies is the use of non-rulemaking guidance. Learn more about this policymaking shift, how non-rulemaking guidance differs from other agency communications, and how regulators like the CFPB are using them.
- **Speakers:**
 - *Cat Albrecht-Wiese – Associate – Husch Blackwell LLP*
 - *Leslie A. Sowers – Partner – Husch Blackwell LLP*

• **KEEPING CURRENT ON SMALL-DOLLAR LENDING COMPLIANCE**

- **Room:** Sierra Nevada
- **Description:** The small dollar loan market is constantly changing, which makes keeping up with the regulatory and compliance landscape a never-ending challenge. Join a discussion with experts in the field about potential changes coming to the small-dollar sector and how they may impact compliance.
- **Speakers:**
 - *Eric T. Mitzenmacher – Partner – Mayer Brown*
 - *H. Blake Sims – Partner – Hudson Cook, LLP*
 - *Jeffrey P. Taft – Partner – Mayer Brown*

10:00 AM – 10:30 AM

COFFEE BREAK

- **Room:** Foyer
- **Sponsored By:**

SheppardMullin

10:30 AM – 11:30 AM

BREAKOUT SESSIONS (THREE CONCURRENT)

- **UDAAP 101 – WHAT’S THE LATEST?**

- **Room:** Adirondack
- **Description:** Learn more about the most recent regulatory actions involving Unfair, Deceptive, or Abusive Acts or Practices (UDAAP). Our experts will share their knowledge about “dark patterns” and key cases that may indicate enforcement trends.
- **Speakers:**
 - *Scott M. Pearson – Partner – Manatt, Phelps & Phillips, LLP*
 - *Mike G. Silver – Partner – Husch Blackwell LLP*

- **MANAGING THE COST OF YOUR COMPLIANCE PROGRAM**

- **Room:** Civiletti
- **Description:** There’s no denying that compliance is expensive. From lawyers and compliance staff to reporting and monitoring, companies need to invest a lot to stay on top of day-to-day compliance requirements. But with fines for non-compliance being more than two and a half times more costly, staying in the know is far more cost-effective. Learn how to set up and maintain a cost-effective compliance management program that saves you money in the long run.
- **Speakers:**
 - *Juan Azel – Partner – Winston & Strawn LLP*
 - *Brad Birkholz – Associate – Plante & Moran, PLLC*

- **WHAT YOU SHOULD KNOW ABOUT FAIR LENDING COMPLIANCE IN 2024**

- **Room:** Sierra Nevada
- **Description:** Recent years have seen a drastic increase in fair-lending examinations by the CFPB resulting in enforcement actions, MRAs, and MOUs. The panel will highlight what fintech companies must know about Fair Lending compliance and share practical steps to help you devise efficient solutions to stay on the right side of the law
- **Speakers:**
 - *David T. Long Jr. – Associate – Bradley Arant Boult Cummings LLP*
 - *Tori K. Shinohara – Partner – Mayer Brown*

11:30 AM – 11:45 AM

CLOSING REMARKS

- **Room:** Civiletti
 - **Speaker:** *Andrew Duke – Chief Executive Officer – Online Lenders Alliance*
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